17 PARAMETERS AND FUNCTIONS

It is the aim of this chapter to show how we can extend our language and its compiler to allow for value-returning functions in addition to regular procedures, and to support the use of parameters. Once again, the syntactic and semantic extensions we shall make are kept as simple as possible, and should be familiar to the reader from a study of other imperative languages.

17.1 Syntax and semantics

The subject of parameter passing is fairly extensive, as the reader may have realized. In the development of programming languages several models of parameter passing have been proposed, and the ones actually implemented vary semantically from language to language, while syntactically often appearing deceptively similar. In most cases, declaration of a subprogram segment is accompanied by the declaration of a list of formal parameters, which appear to have a status within the subprogram rather like that of local variables. Invocation of the subprogram is accompanied by a corresponding list of actual parameters (sometimes called arguments), and it is invariably the case that the relationship between formal and actual parameters is achieved by positional correspondence, rather than by lexical correspondence in the source text. Thus it would be quite legal, if a little confusing to another reader, to declare

```
PROCEDURE AnyName ( A, B )
```

and then to invoke it with a statement of the form

```
AnyName ( B, A )
```

when the A in the procedure would be associated with the B in the calling routine, and the B in the procedure would be associated with the A in the calling routine. It may be the lack of name correspondence that is at the root of a great deal of confusion in parameter handling amongst beginners.

The correspondence of formal and actual parameters goes deeper than mere position in a parameter list. Of the various ways in which it might be established, the two most widely used and familiar parameter passing mechanisms are those known as call-by-reference and call-by-value. In developing the case studies in this text we have, of course, made frequent use of both of methods; we turn now to a discussion of how they are implemented.

The semantics and the implementation of the two mechanisms are quite different:

- In call-by-reference an actual parameter usually takes the form of a VariableDesignator. Within the subprogram, a reference to the formal parameter results, at run-time, in a direct reference to the variable designated by the actual parameter, and any change to that formal parameter results in an immediate change to the corresponding actual parameter. In a very real sense, a formal parameter name may be regarded as an alias for the actual parameter name. The alias lasts as long as the procedure is active, and may be transmitted to other subprograms with parameters passed in the same way. Call-by-reference is usually accomplished by passing the address associated with the actual parameter to the subprogram for processing.
In call-by-value, an actual parameter takes the form of an Expression. Formal parameters in a subprogram (when declared in this way) are effectively variables local to that subprogram, which start their lives initialized to the values of the corresponding actual parameter expressions. However, any changes made to the values of the formal parameter variables are confined to the subprogram, and cannot be transmitted back via the formal parameters to the calling routine. Fairly obviously, it is the run-time value of the expression which is handed over to the subprogram for processing, rather than an explicit address of a variable.

Call-by-value is preferred for many applications - for example it is useful to be able to pass expressions to procedures like WRITE without having to store their values in otherwise redundant variables. However, if an array is passed by value, a complete copy of the array must be passed to the subprogram. This is expensive, both in terms of space and time, and thus many programmers pass all array parameters by reference, even if there is no need for the contents of the array to be modified. In C++, arrays may only be passed as reference parameters, although C++ permits the use of the qualifier const to prevent an array from being modified in a subprogram. Some languages permit call-by-reference to take place with actual parameters that are expressions in the general sense; in this case the value of the expression is stored in a temporary variable, and the address of that variable is passed to the subprogram.

In what follows we shall partially illustrate both methods, using syntax suggested by C. Simple scalar parameters will be passed by value, and array parameters will be passed by reference in a way that almost models the open array mechanism in Modula-2.

We describe the introduction of function and parameter declarations to our language more formally by the following EBNF. The productions are highly non-LL(1), and it should not take much imagination to appreciate that there is now a large amount of context-sensitive information that a practical parser will need to handle (through the usual device of the symbol table). Our productions attempt to depict where such context-sensitivity occurs.

```
ProcDeclaration  = ( "PROCEDURE" ProcIdentifier | "FUNCTION" FuncIdentifier )
                   [ FormalParameters ] ";"
                   Block ";" .
FormalParameters = "( " OneFormal { "," OneFormal } ")" .
OneFormal = ScalarFormal | ArrayFormal .
ScalarFormal = ParIdentifier .
ArrayFormal = ParIdentifier "[" "]" .
```

We extend the syntax for ProcedureCall to allow procedures to be invoked with parameters:

```
ProcedureCall = ProcIdentifier ActualParameters .
ActualParameters = [ "(" OneActual { "," OneActual } ")" ] .
OneActual = ValueParameter | ReferenceParameter .
ValueParameter = Expression .
ReferenceParameter = Variable .
```

We also extend the definition of Factor to allow function references to be included in expressions with the appropriate precedence:

```
Factor = Variable | ConstIdentifier | number
        | "(" Expression ")"
        | FuncIdentifier ActualParameters .
```

and we introduce the ReturnStatement in an obvious way:

```
ReturnStatement = "RETURN" [ Expression ] .
```

where the Expression is only needed within functions, which will be limited (as in traditional C and Pascal) to returning scalar values only. Within a regular procedure the effect of a ReturnStatement
is simply to transfer control to the calling routine immediately; within a main program a
ReturnStatement simply terminates execution.

A simple example of a Clang program that illustrates these extensions is as follows:

```clang
PROGRAM Debug;
  FUNCTION Last (List[], Limit);
  BEGIN
    RETURN List[Limit];
  END;

  PROCEDURE Analyze (Data[], N);
  VAR
    LocalData[2];
  BEGIN
    WRITE(Last(Data, N+2), Last(LocalData, 1));
  END;

  VAR
    GlobalData[3];
  BEGIN
    Analyze(GlobalData, 1);
  END.

The WRITE statement in procedure Analyze would print out the value of GlobalData[3] followed by the value of LocalData[1]. GlobalData is passed to Analyze, which refers to it under the alias of Data, and then passes it on to Last, which, in turn, refers to it under the alias of List.

---

17.2 Symbol table support for context-sensitive features

It is possible to write a simple context-free set of productions that do satisfy the LL(1) constraints, and a Coco/R generated system will require this to be done. We have remarked earlier that it is not possible to specify the requirement that the number of formal and actual parameters must match; this will have to be done by context conditions. So too will the requirement that each actual parameter is passed in a way compatible with the corresponding formal parameter - for example, where a formal parameter is an open array we must not be allowed to pass a scalar variable identifier or an expression as the actual parameter. As usual, a compiler must rely on information stored in the symbol table to check these conditions, and we may indicate the support that must be provided by considering the shell of a simple program:

```clang
PROGRAM Main;
  VAR G1;                   (* global *)
  PROCEDURE One (P1, P2);   (* two formal scalar parameters *)
    (* body of One *)
  BEGIN                   (* body of One *)
  END;

  PROCEDURE Two;            (* no formal parameters *)
    (* body of Two *)
  BEGIN                   (* body of Two *)
  END;

  PROCEDURE Three (P1[]);   (* one formal open array parameter *)
    (* local to Three *)
  VAR L1, L2;             (* local to Three *)
    (* body of Three *)
  BEGIN                   (* body of Three *)
  END;

  BEGIN                     (* body of Main *)
  END.

At the instant where the body of procedure Three is being parsed our symbol table might have a structure like that in Figure 17.1.
Although all three of the procedure identifiers One, Two and Three are in scope, procedures One and Two will already have been compiled in a one-pass system. So as to retain information about their formal parameters, internal links are set up from the symbol table nodes for the procedure identifiers to the nodes set up for these parameters. To provide this support it is convenient to extend the definition of the TABLE_entries structure:

```c
enum TABLE_idclasses
{ TABLE_consts, TABLE_vars, TABLE_progs, TABLE_procs, TABLE_funcs };

struct TABLE_nodes;
typedef TABLE_nodes *TABLE_index;

struct TABLE_entries {
    // identifier
    TABLE_alfa name;
    int level;            // static level
    TABLE_idclasses idclass;    // class
    union {
        int value;        // constants
        struct {
            int size, offset;
            bool ref, scalar;
        } v;              // variables
        struct {
            int params, paramsize;
            TABLE_index firstparam;
            CGEN_labels entrypoint;
        } p;                  // procedures, functions
    };
};
```

Source for an implementation of the TABLE class can be found in Appendix B, and it may be helpful to draw attention to the following features:

- Formal parameters are treated within the Block of a function or procedure in most cases as though they were variables. So it will be convenient to enter them into the symbol table as such. However, it now becomes necessary to tag the entry for each variable with the extra field ref. This denotes whether the identifier denotes a true variable, or is merely an alias for a variable that has been passed to a procedure by reference. Global and local variables and scalar formals will all have this field defined to be false.

- Passing an array to a subprogram by reference is not simply a matter of passing the address of the first element, even though the subprogram appears to handle open arrays. We shall also need to supply the length of the array (unless we are content to omit array bound checking). This suggests that the value of the size field for an array formal parameter can always be 2. We observe that passing open arrays by value, as is possible in Modula-2, is likely to be considerably more complicated.
- Formal parameter names, like local variable names, will be entered at a higher level than the procedure or function name, so as to reserve them local status.

- For procedures and functions the `params` field is used to record the number of formal parameters, and the `firstparam` field is used to point to the linked queue of entries for the identifiers that denote the formal parameters. Details of the formal parameters, when needed for context-sensitive checks, can be extracted by further member functions in the `TABLE` class. As it happens, for our simplified system we need only to know whether an actual parameter must be passed by value or by reference, so a simple Boolean function `isrefparam` is all that is required.

- When a subprogram identifier is first encountered, the compiler will not immediately know how many formal parameters will be associated with it. The table handler must make provision for backpatching an entry, and so we need a revised interface to the `enter` routine, as well as an `update` routine:

```cpp
class TABLE {
public:
  void enter(TABLE_entries &entry, TABLE_index &position);
  // Adds entry to symbol table, and returns its position
  void update(TABLE_entries &entry, TABLE_index position);
  // Updates entry at known position
  bool isrefparam(TABLE_entries &procentry, int n);
  // Returns true if nth parameter for procentry is passed by reference
  // rest as before
};
```

The way in which the declaration of functions and parameters is accomplished may now be understood with reference to the following extract from a Cocol specification:

```cocol
ProcDeclaration =                          (. TABLE_entries entry; TABLE_index index; .)
                   (   "PROCEDURE"         (. entry.idclass = TABLE_procs; .)
                       | "FUNCTION"          (. entry.idclass = TABLE_funcs; .)
                   ) Ident<entry.name>     (. entry.p.params = 0; entry.p.paramsize = 0;
                   entry.p.firstparam = NULL;
                   CGen->storelabel(entry.p.entrypoint);
                   Table->enter(entry, index);
                   Table->openscope(); .)
                   [ FormalParameters<entry> (. Table->update(entry, index); .)
                     ] WEAK ";"
                   Block<entry.level+1, entry.idclass, entry.p.paramsize + CGEN_headersize>
                   ";" .

FormalParameters<TABLE_entries &proc> =                          (. TABLE_index p; .)
                   "(" OneFormal<proc, proc.p.firstparam>                         (. WEAK "," OneFormal<proc, p> ) ")" .

OneFormal<TABLE_entries &proc, TABLE_index &index> =                          (. TABLE_entries formal;
                   formal.idclass = TABLE_vars; formal.v.ref = false;
                   formal.v.size = 1; formal.v.scalar = true;
                   formal.v.offset = proc.p.paramsize + CGEN_headersize + 1; .}

Ident<formal.name> =                          (. formal.v.size = 2; formal.v.scalar = false;
                   formal.v.ref = true; .)
                   (. Table->enter(formal, index);
                   proc.p.paramsize += formal.v.size;
                   proc.p.params++; .).
```

Address offsets have to be associated with formal parameters, as with other variables. These are allocated as the parameters are declared. This topic is considered in more detail in the next section; for the moment notice that parameter offsets start at `CGEN_HeaderSize + 1`.
17.3 Actual parameters and stack frames

There are several ways in which actual parameter values may be transmitted to a subprogram. Typically they are pushed onto a stack as part of the activation sequence that is executed before transferring control to the procedure or function which is to use them. Similarly, to allow a function value to be returned, it is convenient to reserve a stack item for this just before the actual parameters are set up, and for the function subprogram to access this reserved location using a suitable offset. The actual parameters might be stored after the frame header - that is, within the activation record - or they might be stored before the frame header. We shall discuss this latter possibility no further here, but leave the details as an exercise for the curious reader (see Terry (1986) or Brinch Hansen (1985)).

If the actual parameters are to be stored within the activation record, the corresponding formal parameter offsets are easily determined by the procedures specified by the Cocol grammar given earlier. These also keep track of the total space that will be needed for all parameters, and the final offset reached is then passed on to the parser for *Block*, which can continue to assign offsets for local variables beyond this.

To handle function returns it is simplest to have a slightly larger frame header than before. We reserve the first location in a stack frame (that is, at an invariant offset of 1 from the base pointer BP) for a function’s return value, thereby making code generation for the *ReturnStatement* straightforward. This location is strictly not needed for regular procedures, but it makes for easier code generation to keep all frame headers a constant size. We also need to reserve an element for saving the old mark stack pointer at procedure activation so that it can be restored once a procedure has been completed. We also need to reserve an element for saving the old mark stack pointer at procedure activation so that it can be restored once a procedure has been completed.

If we use the display model, the arrangement of the stack after a procedure has been activated and called will typically be as shown in Figure 17.2. The frame header and actual parameters are set up by the activation sequence, and storage for the local variables is reserved immediately after the procedure obtains control.

![Figure 17.2 Stack frame immediately after a procedure has been called](image)

This may be made clearer by considering some examples. Figure 17.3 shows the layout in memory for the array processing program given in section 17.1, at the instant where function *Last* has just started execution.
Note that there are three values in the parameter area of the stack frame for Analyze. The first two are the actual address of the first element of the array bound to the formal parameter Data, and the actual size to be associated with this formal parameter. The third is the initial value assigned to formal parameter N. When Analyze activates function Last it stacks the actual address of the array that was bound to Data, as well as the actual size of this array, so as to allow Last to bind its formal parameter List to the formal parameter Data, and hence, ultimately, to the same array (that is, to the global array GlobalData).

The second example shows a traditional, if hackneyed, approach to computing factorials:

```pascal
PROGRAM Debug;

FUNCTION Factorial (M);
BEGIN
  IF M <= 1 THEN RETURN 1;
  RETURN M * Factorial(M-1);
END;

VAR N;
BEGIN
  READ(N);
  WHILE N > 0 DO
    BEGIN
      WRITE(Factorial(N));
      READ(N)
    END;
  END.

If this program were to be supplied with a data value of \( N = 3 \), then the arrangement of stack frames would be as depicted in Figure 17.4 immediately after the function has been called for the second time.
Factorial can pick up its parameter $M$ by using an offset of 5 from $BP$, and can assign the value to be returned to the stack element whose offset is 1 from $BP$. (In practice the addressing might be done via $Display[2]$, rather than via $BP$).

Note that this way of returning function values is entirely consistent with the use of the stack for expression evaluation. In practice, however, many compilers return the value of a scalar function in a machine register.

### 17.4 Hypothetical stack machine support for parameter passing

Little has to be added to our stack machine to support parameter passing and function handling. Leaving a $Block$ is slightly different: after completing a regular procedure we can cut the stack back so as to throw away the entire stack frame, but after completing a function procedure we must leave the return value on the top of stack so that it will be available for incorporation into the expression from which the call was instigated. This means that the $STKMC\_ret$ instruction requires a second operand. It also turns out to be useful to introduce a $STKMC\_nfn$ instruction that can be generated at the end of each function block to detect those situations where the flow of control through a function never reaches a $ReturnStatement$ (this is very hard to detect at compile-time). Taking into account the increased size of the frame header, the operational semantics of the affected instructions become:

```plaintext
case STKMC\_cal:                               // procedure entry
  mem[cpu.mp - 2] = display[mem[cpu.pc]];     // save display element
  mem[cpu.mp - 3] = cpu.bp;                   // save dynamic link
  mem[cpu.mp - 4] = cpu.pc + 2;               // save return address
  display[mem[cpu.pc]] = cpu.mp;              // update display
  cpu.bp = cpu.mp;                            // reset base pointer
  cpu.pc = mem[cpu.pc + 1];                   // enter procedure
  break;

case STKMC\_ret:                               // procedure exit
  display[mem[cpu.pc] - 1] = mem[cpu.bp - 2]; // restore display
  cpu.mp = mem[cpu.bp - 5];                   // restore mark pointer
  cpu.sp = cpu.bp - mem[cpu.pc + 1];          // discard stack frame
  cpu.pc = mem[cpu.bp - 4];                   // get return address
  cpu.bp = mem[cpu.bp - 3];                   // reset base pointer
  break;

case STKMC\_mst:
  if (inbounds(cpu.sp-STKMC\_headersize))      // check space available
  { mem[cpu.sp-5] = cpu.mp;                   // save mark pointer
    cpu.mp = cpu.sp;                          // set mark stack pointer
    cpu.sp -= STKMC\_headersize;              // bump stack pointer
  }
  break;

case STKMC\_nfn:                               // bad function (no return)
  ps = badfun; break;                         // change status from running
```

### 17.5 Context sensitivity and LL(1) conflict resolution

We have already remarked that our language now contains several features that are context-sensitive, and several that make an LL(1) description difficult. These are worth summarizing:

- $Statement = Assignment | ProcedureCall | ...$
- $Assignment = Variable ":=" Expression .$
- $ProcedureCall = ProcIdentifier ActualParameters .$
Both Assignment and ProcedureCall start with an identifier. Parameters cause similar difficulties:

\[
\begin{align*}
\text{ActualParameters} &= \left[ \(" \text{OneActual} \left[ \," \text{OneActual} \,\," \right]\) \right]. \\
\text{OneActual} &= \text{ValueParameter} \mid \text{ReferenceParameter} . \\
\text{ValueParameter} &= \text{Expression} . \\
\text{ReferenceParameter} &= \text{Variable} . 
\end{align*}
\]

OneActual is non-LL(1), as Expression might start with an identifier, and Variable certainly does. An Expression ultimately contains at least one Factor:

\[
\begin{align*}
\text{Factor} &= \text{Variable} \mid \text{ConstIdentifier} \mid \text{number} \\
&\quad \mid \(" \text{Expression} \)" \\
&\quad \mid \text{FuncIdentifier} \, \text{ActualParameters} . 
\end{align*}
\]

and three alternatives in Factor start with an identifier. A Variable is problematic:

\[
\begin{align*}
\text{Variable} &= \text{VarIdentifier} \left[ \," \text{Expression} \," \right] . 
\end{align*}
\]

In the context of a ReferenceParameter the optional index expression is not allowed, but in the context of all other Factors it must be present. Finally, even the ReturnStatement becomes context-sensitive:

\[
\begin{align*}
\text{ReturnStatement} &= \" \text{RETURN} \" \left[ \text{Expression} \right] . 
\end{align*}
\]

In the context of a function Block the Expression must be present, while in the context of a regular procedure or main program Block it must be absent.

---

17.6 Semantic analysis and code generation

We now turn to a consideration of how the context-sensitive issues can be handled by our parser, and code generated for programs that include parameter passing and value returning functions. It is convenient to consider hand-crafted and automatically generated compilers separately.

17.6.1 Semantic analysis and code generation in a hand-crafted compiler

As it happens, each of the LL(1) conflicts and context-sensitive constraints is easily handled when one writes a hand-crafted parser. Each time an identifier is recognized it is immediately checked against the symbol table, after which the appropriate path to follow becomes clear. We consider the hypothetical stack machine interface once more, and in terms of simplified on-the-fly code generation, making the assumption that the source will be free of syntactic errors. Full source code is, of course, available on the source diskette.

Drawing a distinction between assignments and procedure calls has already been discussed in section 16.1.5, and is handled from within the parser for Statement. The parser for ProcedureCall is passed the symbol table entry apposite to the procedure being called, and makes use of this in calling on the parser to handle that part of the activation sequence that causes the actual parameters to be stacked before the call is made:

```cpp
void PARSER::ProcedureCall(TABLE_entries entry)
// ProcedureCall = ProcIdentifier ActualParameters .
{ GetSym();
  CGen->markstack();                             // code for activation
  ActualParameters(entry);                       // code to evaluate arguments
  CGen->call(entry.level, entry.p.entrypoint);   // code to transfer control
}
```

A similar extension is needed to the routine that parses a Factor:
The parsers that handle `ActualParameters` and `OneActual` are straightforward, and make use of the extended features in the symbol table handler to distinguish between reference and value parameters:

The several situations where it is necessary to generate code that will push the run-time address of a variable or parameter onto the stack all depend ultimately on the `stackaddress` routine in the code generator interface. This has to be more complex than before, because in the situations where a variable is really an alias for a parameter that has been passed by reference, the offset recorded in the symbol table is really the offset where one will find yet another address. To push the true address onto the stack requires that we load the address of the offset, and then dereference this to find the address that we really want. Hence the code generation interface takes the form

```cpp
stackaddress(int level, int offset, bool byref);
```

which, for our stack machine will emit a `LDA level offset` instruction, followed by a `VAL` instruction if `byref` is true. This has an immediate effect on the parser for a `Designator`, which now becomes:

```cpp
void PARSER::Designator(TABLE_entries entry)
// Designator = VarIdentifier [ "[" Expression "]" ] .
{ CGen->stackaddress(entry.level, entry.v.offset, entry.v.ref); // base address
  GetSym();
}
if (SYM.sym == SCAN_lbracket)  // array reference
    GetSym();
    Expression();                // code to evaluate index
    if (entry.v.ref)            // get size from hidden parameter
        CGen->stackaddress(entry.level, entry.v.offset + 1, entry.v.ref);
    else                         // size known from symbol table
        CGen->stackconstant(entry.v.size);
    CGen->subscript();
    accept(SCAN_rbracket);
}

The first call to stackaddress is responsible for generating code to push the address of a scalar variable onto the stack, or the address of the first element of an array. If this array has been passed by reference it is necessary to dereference that address to find the true address of the first element of the array, and to determine the true size of the array by retrieving the next (hidden) actual parameter. Another situation in which we wish to push such addresses onto the stack arises when we wish to pass a formal array parameter on to another routine as an actual parameter. In this case we have to push not only the address of the base of the array, but also a second hidden argument that specifies its size. This is handled by the parser that deals with a ReferenceParameter:

void PARSER::ReferenceParameter(void)
// ReferenceParameter = ArrayIdentifier . (unsubscripted)
{
    TABLE_entries entry;
    Table->search(SYM.name, entry);                // assert : SYM.sym = identifier
    CGen->stackaddress(entry.level, entry.v.offset, entry.v.ref);  // base
    // pass size as next parameter
    if (entry.v.ref)                               // get size from formal parameter
        CGen->stackaddress(entry.level, entry.v.offset + 1, entry.v.ref);
    else                                           // size known from symbol table
        CGen->stackconstant(entry.v.size);
    GetSym();                                      // should be comma or rparen
}

The variations on the ReturnStatement are easily checked, since we have already made provision for each Block to be aware of its category. Within a function a ReturnStatement is really an assignment statement, with a destination whose address is always at an offset of 1 from the base of the stack frame.

void PARSER::ReturnStatement(void)
{
    GetSym();                                      // accept RETURN
    switch (blockclass)                            // semantics depend on context
    { case TABLE_funcs:
        CGen->stackaddress(blocklevel, 1, false);  // address of return value
        Expression(followers); CGen->assign();     // code to compute and assign
        CGen->leavefunction(blocklevel); break;    // code to exit function
    case TABLE_procs:
        CGen->leaveprocedure(blocklevel); break;   // direct exit from procedure
    case TABLE_progs:
        CGen->leaveprogram(); break;               // direct halt from main program
    }
}

As illustrative examples we give the code for the programs discussed previously:

0 : PROGRAM Debug;
0 : 0 : FUNCTION Factorial (M);
2 : BEGIN
2 : 2 : IF M <= 1 THEN RETURN 1;
20 : RETURN M * Factorial(M-1);
43 : END;
44 : 44 : VAR N;
44 : 44 : BEGIN
44 : 46 : READ(N);
50 : 50 : WHILE N > 0 DO
59 : BEGIN WRITE(Factorial(N)); READ(N) END;
75 : END.
0 BRN 44 Jump to start of program 40 RET 2 1 Exit function
17.6.2 Semantic analysis and code generation in a Coco/R generated compiler

If we wish to write an LL(1) grammar as input for Coco/R, things become somewhat more complex. We are obliged to write our productions as

```plaintext
Statement = AssignmentOrCall | ...
AssignmentOrCall = Designator (" := " Expression | ActualParameters ) . 
ActualParameters = [ "(" OneActual [ "," OneActual ) "]" ] . 
OneActual = Expression . 
Factor = Designator ActualParameters | number | "(" Expression ")" . 
Designator = identifier [ "(" Expression ")" ] . 
ReturnStatement = "RETURN" [ Expression ] .
```

This implies that Designator and Expression have to be attributed rather cleverly to allow all the
conflicts to be resolved. This can be done in several ways. We have chosen to illustrate a method
where the routines responsible for parsing these productions are passed a Boolean parameter
stipulating whether they are being called in a context that requires that the appearance of an array
name must be followed by a subscript (this is always the case except where an actual parameter is
syntactically an expression, but must semantically be an unsubscripted array name). On its own this
system is still inadequate for constraint analysis, and we must also provide some method for
checking whether an expression used as an actual reference parameter is comprised only of an
unsubscripted array name.

At the same time we may take the opportunity to discuss the use of an AST as an intermediate
representation of the semantic structure of a program, by extending the treatment found in section
15.3.2. The various node classes introduced in that section are extended and enhanced to support
the idea of a node to represent a procedure or function call, linked to a set of nodes each of which
represents an actual parameter, and each of which, in turn, is linked to the tree structure that
represents the expression associated with that actual parameter. The sort of structures we set up are
exemplified in Figure 17.5, which depicts an AST corresponding to the procedure call in the
program outlined below

```
PROGRAM Debug;
    FUNCTION F (X);
        BEGIN END; (* body of F *)
    PROCEDURE P (U, V[], W);
        BEGIN END; (* body of P *)
    VAR
        X, Y, A[7];
    BEGIN
        P(F(X+5), A, Y)
    END.
```

Our base NODE class is extended slightly from the one introduced earlier, and now incorporates a
member for linking nodes together when they are elements of argument lists:

```c
struct NODE {
    int value; // value to be associated with this node
    bool defined; // true if value predictable at compile time
    bool refnode; // true if node corresponds to a ref parameter
    NODE() { defined = false; refnode = false; }
    virtual void emit1(void) = 0;
    virtual void emit2(void) = 0;
    virtual void link(AST next) = 0;
};
```
Similarly, the **VARNODE** class has members to record the static level, and whether the corresponding variable is a variable in its own right, or is simply an alias for an array passed by reference:

```c
struct VARNODE : public NODE {
    bool ref;                      // direct or indirectly accessed
    int level;                     // static level of declaration
    int offset;                    // offset of variable assigned by compiler
    VARNODE() {;}                  // default constructor
    VARNODE(bool R, int L, int O) { ref = R; level = L; offset = O; }
    virtual void emit1(void);      // generate code to retrieve value of variable
    virtual void emit2(void);      // generate code to retrieve address of variable
    virtual void link(AST next) {;}
};
```

Procedure and function calls give rise to instances of a **PROCNODE** class. Such nodes need to record the static level and entry point of the routine, and have further links to the nodes that are set up to represent the queue of actual parameters or arguments. It is convenient to introduce two such pointers so as to simplify the link member function that is responsible for building this queue.

```c
struct PROCNODE : public NODE {
    int level, entrypoint;         // static level, address of first instruction
    AST firstparam, lastparam;     // pointers to argument list
    PROCNODE(int L, int E) {
        level = L; entrypoint = E;
        firstparam = NULL; lastparam = NULL;
    }
    virtual void emit1(void);      // generate code for procedure/function call
    virtual void emit2(void);      // generate code for procedure/function call
    virtual void link(AST next);   // link next actual parameter
};
```

The actual arguments give rise to nodes of a new **PARAMNODE** class. As can be seen from Figure 17.5, these require pointer members: one to allow the argument to be linked to another argument, and one to point to the expression tree for the argument itself:

```c
struct PARAMNODE : public NODE {
    AST par, next;                 // pointers to argument and to next argument
    PARAMNODE(AST P) {
        par = P; next = NULL;
    }
    virtual void emit1(void);      // push actual parameter onto stack
    virtual void emit2(void);      // push actual parameter onto stack
    virtual void link(AST param)   { next = param; }
};
```

Actual parameters are syntactically expressions, but we need a further **REFNODE** class to handle the passing of arrays as actual parameters:

```c
struct REFNODE : public VARNODE {
    AST size;                      // real size of array argument
    REFNODE(bool R, int L, int O, AST S) {
        ref = R; level = L; offset = O; size = S; refnode = true;
    }
    virtual void emit1(void);      // generate code to push array address, size
    virtual void emit2(void);      // generate code to push array address, size
    virtual void link(AST next) {;}
};
```

Tree building operations may be understood by referring to the attributes with which a Cocol specification would be decorated:

```c
AssignmentOrCall
- ( . TABLE_ENTRIES entry; AST des, exp; .)
  Designator<des, classset(TABLE_VARS, TABLE_PROCS), entry, true>
  ( /* assignment */ (. if (entry.idclass != TABLE_VARS) SemError(210); .)
    "::=" Expression<exp, true>
    SYNC (. CGen->assign(des, exp); .)
  )
  /* procedure call */ (. if (entry.idclass < TABLE_PROCS)
   { SemError(210); return; }
   CGen->markstack(des, entry.level,
                   entry.p.entrypoint); .)
  ActualParameters<des, entry>
     (. CGen->call(des); .)
  )
```

```c
Designator<AST &D, classset allowed, TABLE_ENTRIES &entry, bool entire>
```
Ident\langle name\rangle

\[
\text{if (!found) SemError(202);}
\]
\[
\text{if (!allowed.memb(entry.idclass)) SemError(206);}
\]
\[
\text{if (entry.idclass != TABLE_vars) return;}
\]
\[
\text{CGen->stackaddress(D, entry.level, entry.v.offset, entry.v.ref); .)
\]
\[
\text{( if (entry.v.scalar) SemError(204); .)
\]
\[
\text{Expression\langle index, true\rangle
\text{if (!entry.v.scalar)
\text{/* determine size for bounds check */}
\text{if (entry.v.ref)
\text{CGen->stackaddress(size, entry.level,
\text{entry.v.offset + 1, false);}
\text{else}
\text{CGen->stackconstant(size, entry.v.size);}
\text{CGen->subscript(D, entry.v.ref, entry.level,
\text{entry.v.offset, size, index));}
\text{)}
\text{)}
\]
\[
\text{|} \]
\[
\text{if (!entry.v.scalar)
\text{if (entire) SemError(205);}
\text{if (entry.v.ref)
\text{CGen->stackaddress(size, entry.level,
\text{entry.v.offset + 1, false);}
\text{else}
\text{CGen->stackconstant(size, entry.v.size);}
\text{CGen->stackreference(D, entry.v.ref, entry.level,
\text{entry.v.offset, size);}
\text{)}
\text{)}
\]
\)
\]

ActualParameters\langle AST &p, TABLE_entries proc\rangle

\[
\text{int actual = 0; .}
\]
\[
\text{( actual++ ; .)
\]
\[
\text{OneActual\langle p, (*Table).isrefparam(proc, actual)\rangle
\text{WEAK "",
\text{actual++ ; .)
\]
\[
\text{OneActual\langle p, (*Table).isrefparam(proc, actual)\rangle
\text{)}
\]
\[
\text{(. if (actual != proc.p.params) SemError(209); .)}
\]

OneActual\langle AST &p, bool byref\rangle

\[
\text{Expression\langle par, !byref\rangle
\text{if (byref \& \& !CGen->isrefast(par)) SemError(214);
\text{CGen->linkparameter(p, par); .)}
\]

ReturnStatement

\[
\text{"RETURN"}
\]
\[
\text{( . if (blockclass != TABLE_funcs) SemError(219);
\text{CGen->stackaddress(dest, blocklevel, 1, false); .)
\]
\[
\text{Expression\langle exp, true\rangle
\text{. CGen->assign(dest, exp);}
\text{CGen->leavefunction(blocklevel); .)
\]
\[
\text{| /* empty */}
\text{. switch (blockclass)
\text{case TABLE_procs :
\text{CGen->leaveprocedure(blocklevel); break;}
\text{case TABLE_procs :
\text{CGen->leaveprogram(); break;}
\text{case TABLE_funcs :
\text{SemError(220); break;}
\text{)}
\text{)}
\]
\)
\]

Expression\langle AST &E, bool entire\rangle

\[
\text{( . AST T; CGEN_operators op;
\text{E = CGen->emptyast(); .)}
\]
\[
\text{/* Term\langle E, true\rangle
\text{*/ Term\langle E, true\rangle
\text{Term\langle E, entire\rangle
\text{)}
\text{ AddOp\langle op\rangle Term\langle T, true\rangle (. CGen->binaryintegerop(op, E, T); .)}
\]
\]

Term\langle AST &T, bool entire\rangle

\[
\text{(. AST F; CGEN_operators op; .)
\]
\[
\text{( MulOp\langle op\rangle
\text{ /* missing op */ (. SynError(92); op = CGEN_opmul; .)
\text{ Factor\langle F, true\rangle (. CGen->binaryintegerop(op, T, F); .)}
\]
\)
The reader should compare this with the simpler attributed grammar presented in section 15.3.2, and take note of the following points:

- All productions that have to deal with identifiers call upon Designator. So far as code generation is concerned, this production is responsible for creating nodes that represent the addresses of variables. Where other identifiers are recognized, execution of a return bypasses code generation, and leaves the routine after retrieving the symbol table entry for that identifier.

- Designator must now permit the appearance of an unsubscripted array name, creating an instance of a REFNODE in this case. Note the use of the entire parameter passed to Designator, Expression, Term and Factor to enable checking of the context in which the subscript may be omitted.

- Parsing of OneActual is simply effected by a call to Expression. After this parsing is completed, a check must be carried out to see whether a reference parameter does, in fact, consist only of an unsubscripted array name. Notice that OneActual also incorporates a call to a new code generating routine that will link the node just created for the actual parameter to the parameter list emanating from the node for the procedure itself, a node that was created by the markstack routine.

- Productions like AssignmentOrCall and Factor follow the call to Designator with tests on the class of the identifier that has been recognized, and use this information to drive the parse further (in Factor) or to check constraints (in AssignmentOrCall).

As before, once a AST structure has been built, it can be traversed and the corresponding code generated by virtue of each node "knowing" how to generate its own code. It will suffice to demonstrate two examples. To generate code for a procedure call for our hypothetical stack machine we define the emit1 member function to be

```c
void PROCNODE::emit1(void)
// generate procedure/function activation and call
{ CGen->emit(int(STKMC_mst));
  if (firstparam) { firstparam->emit1(); delete firstparam; } }
```

which, naturally, calls on the emit1 member of its first parameter to initiate the stacking of the actual parameters as part of the activation sequence. This member, in turn, calls on the emit1
void PARAMNODE::emit1(void)
// push actual parameter onto stack during activation
{ if (par) { par->emit1(); delete par; } // push this argument
  if (next) { next->emit1(); delete next; } // follow link to next argument
}

Source code for the complete implementation of the code generator class can be found in Appendix C and also on the source diskette, along with implementations for hand-crafted compilers that make use of tree structures, and implementations that make use of the traditional variant records or unions to handle the inhomogeneity of the tree nodes.

---

**Exercises**

17.1 Some authors suggest that value-returning function subprograms are not really necessary; one can simply use procedures with call-by-reference parameter passing instead. On the other hand, in C++ all subprograms are potentially functions. Examine the relative merits of providing both in a language, from the compiler writer's and the user's viewpoints.

17.2 Extend Topsy and its compiler to allow functions and procedures to have parameters. Can you do this in such a way a function can be called either as an operand in an expression, or as a stand-alone statement, as in C++?

17.3 The usual explanation of call-by-value leaves one with the impression that this mode of passing is very safe, in that changes within a subprogram can be confined to that subprogram. However, if the value of a pointer variable is passed by value this is not quite the whole story. C does not provide call-by-reference, because the same effect can be obtained by writing code like

\[
\text{void swap (int } *x, \text{ int } *y) \\
\{ \text{ int } z; \ z = *x; \ *x = *y; \ *y = z; \ \}
\]

Extend Topsy to provide explicit operators for computing an address, and dereferencing an address (as exemplified by \&variable and \*variable in C), and use these features to provide a reference passing mechanism for scalar variables. Is it possible to make these operations secure (that is, so that they cannot be abused)? Are any difficulties caused by overloading the asterisk to mean multiplication in one context and dereferencing an address in another context?

17.4 The array passing mechanisms we have devised effectively provide the equivalent of Modula-2's "open" array mechanism for arrays passed by reference. Extend Clang and its implementation to provide the equivalent of the \texttt{HIGH} function to complete the analogy.

17.5 Implement parameter passing in Clang in another way - use the Pascal/Modula convention of preceding formal parameters by the keyword \texttt{VAR} if the call-by-reference mechanism is to be used. Pay particular attention to the problems of array parameters.

17.6 In Modula-2 and Pascal, the keyword \texttt{VAR} is used to denote call-by-reference, but no keyword is used for the (default) call-by-value. Why does this come in for criticism? Is the word \texttt{VAR} a good choice?

17.7 How do you cater for forward declaration of functions and procedures when you have to take formal parameters into account (see Exercise 16.17)?
17.8 (Longer) If you extend Clang or Topsy to introduce a Boolean type as well as an integer one (see Exercise 14.30), how do you solve the host of interesting problems that arise when you wish to introduce Boolean functions and Boolean parameters?

17.9 Follow up the suggestion that parameters can be evaluated before the frame header is allocated, and are then accessed through positive offsets from the base register \texttt{BP}.

17.10 Exercise 15.16 suggested the possibility of peephole optimization for replacing the common code sequence for loading an address and then dereferencing this, assuming the existence of a more powerful \texttt{STKMC_psh} operation. How would this be implemented when procedures, functions, arrays and parameters are involved?

17.11 In previous exercises we have suggested that undeclared identifiers could be entered into the symbol table at the point of first declaration, so as to help with suppressing further spurious errors. What is the best way of doing this if we might have undeclared variables, arrays, functions, or procedures?

17.12 (Harder) Many languages allow formal parameters to be of a procedure type, so that procedures or functions may be passed as actual parameters to other routines. \texttt{C++} allows the same effect to be achieved by declaring formal parameters as pointers to functions. Can you extend Clang or Topsy to support this feature? Be careful, for the problem might be more difficult than it looks, except for some special simple cases.

17.13 Introduce a few standard functions and procedures into your languages, such as the \texttt{ABS}, \texttt{ODD} and \texttt{CHR} of Modula-2. Although it is easier to define these names to be reserved keywords, introduce them as pervasive (predeclared) identifiers, thus allowing them to be redeclared at the user’s whim.

17.14 It might be thought that the constraint analysis on actual parameters in the Cocol grammar could be simplified so as to depend only on the entire parameter passed to the various parsing routines, without the need for a check to be carried out after an \texttt{Expression} had been parsed. Why is this check needed?

17.15 If you study the interpreter that we have been developing, you should be struck by the fact that this does a great deal of checking that the stack pointer stays within bounds. This check is strictly necessary, although unlikely to fail if the memory is large enough. It would probably suffice to check only for opcodes that push a value or address onto the stack. Even this would severely degrade the efficiency of the interpreter. Suggest how the compiler and run-time system could be modified so that at compile-time a prediction is made of the extra depth needed by the run-time stack by each procedure. This will enable the run-time system to do a single check that this limit will not be exceeded, as the procedure or program begins execution. (A system on these lines is suggested by Brinch Hansen (1985)).

17.16 Explore the possibility of providing a fairly sophisticated post-mortem dump in the extended interpreter. For example, provide a trace of the subprogram calls up to the point where an error was detected, and give the values of the local variables in each stack frame. To be really user-friendly the run-time system will need to refer to the user names for such entities. How would this alter the whole implementation of the symbol table?

17.17 Now that you have a better understanding of how recursion is implemented, study the compiler you are writing with new interest. It uses recursion a great deal. How deeply do you
suppose this recursion goes when the compiler executes? Is recursive descent "efficient" for all aspects of the compiling process? Do you suppose a compiler would ever run out of space in which to allocate new stack frames for itself when it was compiling large programs?

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**Further reading**


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### 17.7 Language design issues

In this section we wish to explore a few of the many language design issues that arise when one introduces the procedure and function concepts.

#### 17.7.1 Scope rules

Although the scope rules we have discussed probably seem sensible enough, it may be of interest to record that the scope rules in Pascal originally came in for extensive criticism, as they were incompletely formulated, and led to misconceptions and misinterpretation, especially when handled by one-pass systems. Most of the examples cited in the literature have to do with the problems associated with types, but we can give an example more in keeping with our own language to illustrate a typical difficulty. Suppose a compiler were to be presented with the following:

```pascal
PROGRAM One;

PROCEDURE Two (* first declared here *);
BEGIN
  WRITE('First Two')
END (* Two *);

PROCEDURE Three;

PROCEDURE Four;
BEGIN
  Two
END (* Four *);

PROCEDURE Two (* then redeclared here *);
BEGIN
  WRITE('Second Two')
END (* Two *);

BEGIN
  Four; Two
END (* Three *);

BEGIN
  Three
END (* One *).
```

At the instant where procedure `Four` is being parsed, and where the call to `Two` is encountered, the first procedure `Two` (in the symbol table at level 1) seems to be in scope, and code will presumably
be generated for a call to this. However, perhaps the second procedure Two should be the one that is in scope for procedure Four; one interpretation of the scope rules would require code to be generated for a call to this. In a one-pass system this would be a little tricky, as this second procedure Two would not yet have been encountered by the compiler - but note that it would have been by the time the calls to Four and Two were made from procedure Three.

This problem can be resolved to the satisfaction of a compiler writer if the scope rules are formulated so that the scope of an identifier extends from the point of its declaration to the end of the block in which it is declared, and not over the whole block in which it is declared. This makes for easy one-pass compilation, but it is doubtful whether this solution would please a programmer who writes code such as the above, and falls foul of the rules without the compiler reporting the fact.

An ingenious way for a single-pass compiler to check that the scope of an identifier extends over the whole of the block in which it has been declared was suggested by Sale (1979). The basic algorithm requires that every block be numbered sequentially as it compiled (notice that these numbers do not represent nesting levels). Each identifier node inserted into the symbol table has an extra numeric attribute. This is originally defined to be the unique number of the block making the insertion, but each time that the identifier is referenced thereafter, this attribute is reset to the number of the block making the reference. Each time an identifier is declared, and needs to be entered into the table, a search is made of all the identifiers that are in scope to see if a duplicate identifier entry can be found that is already attributed with a number equal to or greater than that of the block making the declaration. If this search succeeds, it implies that the scope rules are about to be violated. This simple scheme has to be modified, of course, if the language allows for legitimate forward declarations and function prototypes.

17.7.2 Function return mechanisms

Although the use of an explicit ReturnStatement will seem natural to a programmer familiar with Modula-2 or C++, it is not the only device that has been explored by language designers. In Pascal, for example, the value to be returned must be defined by means of what appears to be an assignment to a variable that has the same name as the function. Taken in conjunction with the fact that in Pascal a parameterless function call also looks like a variable access, this presents numerous small difficulties to a compiler writer, as a study of the following example will reveal

```pascal
PROGRAM Debug;
 VAR B, C;

 FUNCTION One (W);
 VAR X, Y;

 FUNCTION Two (Z);

 FUNCTION Three;
 BEGIN
  Two := B + X;    (* should this be allowed ? *)
  Three := Three; (* syntactically correct, although useless *)
 END;

 BEGIN
  Two := B + Two(4); (* must be allowed *)
  Two := B + X;    (* must be allowed *)
  Two := Three;   (* must be allowed *)
  Three := 4;     (* Three is in scope, but cannot be used like this *)
 END;

 BEGIN
  Two := B + X;    (* Two is in scope, but cannot be used like this *)
  X := Two(Y);    (* must be allowed *)
 END;
```
Small wonder that in his later language designs Wirth adopted the explicit `return` statement. Of course, even this does not find favour with some structured language purists, who preach that each routine should have exactly one entry point and exactly one exit point.

**Exercises**

17.18 Submit a program similar to the example in section 17.7.1 to any compilers you may be using, and detect which interpretation they place on the code.

17.19 Implement the Sale algorithm in your extended Clang compiler. Can the same sort of scope conflicts arise in C++, and if so, can you find a way to ensure that the scope of an identifier extends over the whole of the block in which it is declared, rather than just from the point of declaration onwards?

17.20 The following program highlights some further problems with interpreting the scope rules of languages when function return values are defined by assignment statements.

```pascal
PROGRAM Silly;

FUNCTION F;

FUNCTION F (F) (* nested, and same parameter name as function *);
BEGIN
  F := 1
END (* inner F *);

BEGIN (* outer F *)
  F := 2
END (* outer F *);

BEGIN
  WRITE(F)
END (* Silly *).
```

What would cause problems in one-pass (or any) compilation, and what could a compiler writer do about solving these?

17.21 Notwithstanding our comments on the difficulties of using an assignment statement to specify the value to be returned from a function, develop a version of the Clang compiler that incorporates this idea.

17.22 In Modula-2, a procedure declaration requires the name of the procedure to be quoted again after the terminating `END`. Of what practical benefit is this?

17.23 In classic Pascal the ordering of the components in a program or procedure block is very restrictive. It may be summarized in EBNF on the lines of

```
Block = [ ConstDeclarations ]
[ TypeDeclarations ]
[ VarDeclarations ]
[ ProcDeclaration ]
CompoundStatement .
```

In Modula-2, however, this ordering is highly permissive:

```
Block = { ConstDeclarations | TypeDeclarations | VarDeclarations | ProcDeclaration }
```
Oberon (Wirth, 1988b) introduced an interesting restriction:

\[
\text{Block} = \{ \text{ConstDeclarations} | \text{TypeDeclarations} | \text{VarDeclarations} \}
\{
\text{ProcDeclaration}
\}
\text{CompoundStatement}.
\]

Umbriel (Terry, 1995) imposes a different restriction:

\[
\text{Block} = \{ \text{ConstDeclarations} | \text{TypeDeclarations} | \text{ProcDeclaration} \}
\{
\text{VarDeclarations}
\}
\text{CompoundStatement}.
\]

Although allowing declarations to appear in any order makes for the simplest grammar, languages that insist on a specific order presumably do so for good reasons. Can you think what these might be?

17.24 How would you write a Cocol grammar or a hand-crafted parser to insist on a particular declaration order, and yet recover satisfactorily if declarations were presented in any order?

17.25 Originally, in Pascal a function could only return a scalar value, and not, for example, an ARRAY, RECORD or SET. Why do you suppose this annoying restriction was introduced? Is there any easy (legal) way around the problem?

17.26 Several language designers decry function subprograms for the reason that most languages do not prevent a programmer from writing functions that have side-effects. The program below illustrates several esoteric side-effects. Given that one really wishes to prevent these, to what extent can a compiler detect them?

```pascal
PROGRAM Debug;
VAR
  A, B[12];
PROCEDURE P1 (X[]);
BEGIN
  X[3] := 1 (* X is passed by reference *)
END;
PROCEDURE P2;
BEGIN
  A := 1 (* modifies global variable *)
END;
PROCEDURE P3;
BEGIN
  P2 (* indirect attack on a global variable *)
END;
PROCEDURE P4;
VAR C;
FUNCTION F (Y[]);
BEGIN
  A := 3 (* side-effect *);
  C := 4 (* side-effect *);
  READ(A) (* side-effect *);
  P1(B) (* side-effect *);
  P2 (* side-effect *);
  P3 (* side-effect *);
  P4 (* side-effect *);
  RETURN 51
END;
BEGIN
  A := F(B);
END;
BEGIN
```
17.27 If you introduce a FOR loop into Clang (see Exercise 14.46), how could you prevent a malevolent program from altering the value of the loop control variable within the loop? Some attempts are easily detected, but those involving procedure calls are a little trickier, as study of the following might reveal:

```plaintext
PROGRAM Threaten;
  VAR i;

  PROCEDURE Nasty (VAR x);
     BEGIN
       x := 10
     END;

  PROCEDURE Nastier;
     BEGIN
       i := 10
     END;

  BEGIN
     FOR i := 0 TO 10 DO 
       FOR i := 0 TO 5 DO (* Corrupt by using as inner control variable *)
         BEGIN
           READ(i)        (* Corrupt by reading a new value *);
           i := 6         (* Corrupt by direct assignment *);
           Nasty(i)       (* Corrupt by passing i by reference *);
           Nastier        (* Corrupt by calling a procedure having i in scope *)
         END
     END.
```

Further reading

Criticisms of well established languages like Pascal, Modula-2 and C are worth following up. The reader is directed to the classic papers by Welsh, Sneeringer and Hoare (1977) (reprinted in Barron (1981)), Kernighan (1981), Cailliau (1982), Cornelius (1988), Mody (1991), and Sakkinen (1992) for evidence that language design is something that does not always please users.